

FY 2017 - Commercial Driver's License Program Implementation (CDLPI)
Grant Recommendation Summaries for Basic CDLPI Grants

All awards were recommended to the nearest dollar.

- 1. Applicant Name:** **Alabama Law Enforcement Agency**
Recommendation Amount: \$754,061.00

The Alabama Law Enforcement's goal is to achieve/sustain full compliance with the requirements of 49 Code of Federal Regulations (CFR) Parts 383 and 384. In support of this effort, funding is recommended for (1) the continued timely processing of medical examiner certificates, (2) the development/testing/implementation of a system to post the medical certificate data to Commercial Learner's Permit (CLP) and CDL records electronically, (3) to provide mobile tools to replace obsolete equipment and (4) to monitor examiners both overtly and covertly.

- 2. Applicant Name:** **Arkansas State Police**
Recommendation Amount: \$389,423.00

The Arkansas State Police's goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended for upgrading CDL examiner equipment to improve efficiency, protect the security of sensitive information, and help ensure the accuracy, completeness, and timeliness of the State's CDL testing data.

- 3. Applicant Name:** **Colorado Department of Revenue**
Recommendation Amount: \$1,047,121.00

The Colorado Department of Revenue Division of Motor Vehicles goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended to provide the required refresher training for CDL examiners during six 2-day classes per year and to add two additional examiner auditors to ensure that every examiner is audited.

- 4. Applicant Name:** **Connecticut Department of Motor Vehicles**
Recommendation Amount: \$1,101,001.00

The Connecticut Department of Motor Vehicles' goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended to upgrade the Operator Control system to better integrate with existing CDL data platforms, to continue the timely processing of medical certificate data, and to facilitate the electronic scheduling of endorsement knowledge testing.

- 5. Applicant Name:** **Georgia Department of Driver Services**
Recommendation Amount: \$756,848.00

The Georgia Department of Driver Services' goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended to continue the overt/covert monitoring of examiners and for the purchase and installation of testing kiosks that will accommodate the steadily increasing numbers of transactions, reduce delays, and increase efficiency and accuracy.

- 6. Applicant Name:** **Illinois Secretary of State**
Recommendation Amount: \$925,118.00

The Illinois Secretary of State's goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended for the following improved document verification/authentication projects: to develop and implement compatible citizenship, immigration, and U.S. passport documents; to implement the State Pointer Exchange System; and to integrate Medical Examiner Certification Rule documents. These activities will enhance the State's ability to prevent licensing fraud and to strengthen its capability to upload and retrieve accurate CDL data.

- 7. Applicant Name:** **Indiana Bureau of Motor Vehicles**
Recommendation Amount: \$494,400.00

The Indiana Bureau of Motor Vehicles' goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended to develop and implement a self-auditing system that will verify data accuracy and for software enhancements to improve the electronic transmission of Canadian convictions.

- 8. Applicant Name:** **Indiana Supreme Court**
Recommendation Amount: \$435,412.00

The Indiana Supreme Court's will assist the State's driver licensing agency in achieving/sustaining full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended to modernize and restructure the integration of the case management system and the State's Court Information Technology Extranet Bureau of Motor Vehicles software.

- 9. Applicant Name:** **Executive Office of the Commonwealth of Kentucky**
Recommendation Amount: \$417,000.00

The Executive Office of the Commonwealth of Kentucky's goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended to purchase laptops; for programming needed for software development, customization, and integration; for staff training in using the new system; and for contractual services to host and maintain the system and to evaluate the system and assist in monitoring its performance. Implementation of the CDL testing system will improve efficiency, reduce processing time, improve scoring accuracy, and provide administrators with auditing and quality assurance.

10. Applicant Name: **Executive Office of the Commonwealth of Kentucky**
Recommendation Amount: \$198,727.00

The Executive Office of the Commonwealth of Kentucky's goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended for using stakeholder input to develop recommendations and best practices for ensuring the quality of CDL licensing data, training, and outreach. This project will provide the research needed to ensure that State and Federal safety regulations are being enforced efficiently and effectively.

11. Applicant Name: **Supreme Court of Louisiana**
Recommendation Amount: \$680,060.00

The Supreme Court of Louisiana will assist the State's driver licensing agency in achieving/sustaining full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended to upgrade the court case management system, to deploy data exchanges, and to train court staff and provide feedback. The project's purpose is to increase the number of courts reporting conviction data electronically to ensure the accuracy, completeness, and timeliness of conviction data. A non-specific reduction of \$883,940.00 is recommended due to the availability of funding previously awarded for this purpose.

12. Applicant Name: **Louisiana Department of Public Safety**
Recommendation Amount: \$1,343,000.00

The Louisiana Department of Public Safety's goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended: for programming to comply with the National Registry of Certified Medical Examiners Two rule and to send notices of CDL cancellation; to create a customized application to maintain and centralize all aspects of the CDL third-party tester audit process; to reduce late reporting of convictions by the Louisiana courts and to capture documents for

more secure credentials and quality control; to fund quality control by consultants; and for training, conferences, and an additional staff member to administer the grant.

13. Applicant Name: **Massachusetts Registry of Motor Vehicles**
Recommendation Amount: \$108,456.00

The Massachusetts Registry of Motor Vehicle's goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended for clerical staff needed to process medical certificate data, and to purchase manuals.

14. Applicant Name: **Maryland Motor Vehicle Administration**
Recommendation Amount: \$247,280.00

The Maryland Motor Vehicle Administration's goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended for temporary staff to process CDL records and correct them as necessary, and for providing half-day training sessions for state judges. Accurate CDL conviction and CDL record data are essential for the effectiveness of the State's CDL program; outreach to the State's judges to increase their understanding of the program's regulations will improve the adjudication process

15. Applicant Name: **Mississippi Department of Public Safety**
Recommendation Amount: \$588,748.00

The Mississippi Department of Public Safety's goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended to assist the State in meeting the 10-day deadline for uploading all conviction data, including contractual programming services to ensure system compatibility, and for continued overt/covert monitoring of CDL examiners.

16. Applicant Name: **North Carolina Department of Transportation**
Recommendation Amount: \$144,450.00

The North Carolina Department of Transportation's goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended to revise the State Automated Driver Licensing System to enable it to accept medical examiner certificates electronically to reduce fraud and ensure data accuracy and completeness.

17. Applicant Name: **North Dakota State University**
Recommendation Amount: \$414,276.00

This is recommended for award as a Cooperative Agreement to be administered by FMCSA's CDL Division.

The North Dakota State University's goal is to assist States in the Western Service Center area in achieving/sustaining full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended to host a summit on CMV safety for both law enforcement and licensing staff to document and share best practices, and to use information acquired to establish an on-campus Technical Assistance Center for the University's Upper Great Plains Transportation Institute to be used as a resource for all stakeholders. Law enforcement staff will be encouraged but not required to use MCSAP grant funding for their travel.

18. Applicant Name: **Nebraska Department of Motor Vehicles**
Recommendation Amount: \$237,879.00

The Nebraska Department of Motor Vehicles' goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended for hosting a state-wide meeting to communicate new or updated information or rule-making requirements and other safety topics to staff, for the CDL-proportional use of leased vehicles, and for computer peripherals.

19. Applicant Name: **New Jersey Motor Vehicle Commission**
Recommendation Amount: \$1,134,503.00

The New Jersey Motor Vehicle Commission's goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended to implement a multi-state anti-fraud project using an image verification (facial recognition) system for use by New Mexico and Arizona; costs will include a post-project evaluation and analysis of effectiveness.

20. Applicant Name: **New Mexico Taxation and Revenue**
Recommendation Amount: \$578,882.00

New Mexico Taxation and Revenue's goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended for data entry positions to enter CDL conviction data; for travel by auditors to monitor examiner performance; for programming needed to increase the number of courts transmitting conviction data electronically, and for the wages and travel cost for a staff member to accept a temporary detail to the CDL division in Washington, DC. The detailee will assist with special projects such as further development of the annual performance review process.

21. Applicant Name: **New Mexico Taxation and Revenue**

Recommendation Amount: \$1,876,511.00

New Mexico Taxation and Revenue's goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended to implement an anti-fraud project using an image verification (facial recognition) system for New Mexico and Arizona. Costs include a post-project evaluation and effectiveness analysis. The project design is based on similar projects in the Northeast and the Midwest and is expected to accommodate participation by additional states in the future and serve as a potential model for other cross-jurisdictional projects.

22. Applicant Name: New York State Department of Motor Vehicles
Recommendation Amount: \$1,585,763.00

The New York State Department of Motor Vehicles' goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended to provide a system that electronically alerts drivers to needed medical certificate renewal and to administer the CDL skills testing program.

23. Applicant Name: State of Ohio
Recommendation Amount: \$1,621,634.00

The State of Ohio's goal is to goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended to address findings on non-compliance with CLP and CDL issuance. Activities will include sending written notices of required documents, scanning, and programming to incorporate the necessary field(s) to CLPs and CDLs in the future.

24. Applicant Name: PennDOT Driver and Vehicle Services
Recommendation Amount: \$1,134,993.00

The PennDOT Driver and Vehicle Services' goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended for the services of a contractor to serve as CDL coordinator and for programming services to develop a web-based service compatible with the National Registry database to comply with the requirements of the National Registry of Certified Medical Examiners Two rule.

25. Applicant Name: Rhode Island Division of Motor Vehicles
Recommendation Amount: \$800,800.00

The Rhode Island Division of Motor Vehicles' goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is, recommended for a program coordinator and for programming services to comply with the National Registry of Certified Medical Examiners Two rule.

26. Applicant Name: **South Carolina Department of Motor Vehicles**
Recommendation Amount: \$131,626.00

The South Carolina Department of Motor Vehicles' goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is awarded for temporary labor to upload and cleanse medical certificate data for programming services to integrate the State's Phoenix system with the Commercial Skills Test Information Management System (CSTIMS) to receive out-of-state and third party test scores electronically. This is a directed supplementary award which will replace an interim directed award issued during FY 2016 to prevent program interruption.

27. Applicant Name: **State of South Dakota, DPS**
Recommendation Amount: \$913,446.00

The State of South Dakota, DPS' goal is achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended to purchase tablets, software, and the necessary programming to transmit skills test information electronically by developing a Pre-Trip Vehicle Inspection, Basic Skills, and Road Test system using laptops and GPS, and for staff training in using the system.

28. Applicant Name: **State of Tennessee**
Recommendation Amount: \$254,598.00

The State of Tennessee's goal is achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended to improve court reporting by continuing to fund travel for a legal coordinator, and to hire an additional CDL trainer.

29. Applicant Name: **Utah Department of Public Safety**
Recommendation Amount: \$145,782.00

The Utah Department of Public Safety's goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended for contractual programming services needed to comply with the requirements of the National Registry of Certified Medical Examiners Two rule and to automate CDLIS pointer verification; travel to provide training and print course materials; purchasing supplies

to facilitate deaf and hard of hearing communication; and purchasing supplies to improve examiner monitoring by third party testers.

30. Applicant Name: **Wisconsin Department of Transportation**
Recommendation Amount: \$186,550.00

The Wisconsin Department of Transportation's goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended for driver training; programming services for a third party web-based system for applying or paying for licensure; programming services for a system enhancement to provide electronic notification when documents are about to expire or a follow-up is needed; and programming services needed to add a status checker enhancement for school bus drivers age 69-71 who currently cannot use the online application system due to a programming error in the original design.

31. Applicant Name: **Wisconsin Department of Transportation**
Recommendation Amount: \$122,218.00

The Wisconsin Department of Transportation's goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended for covert monitoring of examiners and for programming services to install the necessary updates to the verification of lawful status platform and to facilitate the electronic transmission of Canadian convictions, negations, and withdrawals.

32. Applicant Name: **West Virginia Division of Motor Vehicles**
Recommendation Amount: \$143,528.00

The West Virginia Division of Motor Vehicles' goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended for contractual services to develop, deploy, and train users on systems for employers to receive alerts on their drivers' license status and for CDL holders to use to check their license status, and for labor costs to maintain required data entry timeliness while implementation of the electronic court interface is completed.

33. Applicant Name: **Wyoming Department of Transportation**
Recommendation Amount: \$500,000.00

The Wyoming Department of Transportation's goal is to achieve/sustain full compliance with the requirements of 49 CFR Parts 383 and 384. In support of this effort, funding is recommended to: prevent and detect fraud and ensure compliance with CDL regulations using programming services required for compliance with the National Registry of Certified Medical Examiners Two rule; using programming services to prevent an examiner's ability to override CDLIS data; to purchase digital imaging exchange software and the necessary

programming to implement the first phase of the accompanying facial recognition technology.

34. Applicant Name: **National Center for State Courts**
Recommendation Amount: \$1,416,860.00

This is recommended for award as a Cooperative Agreement to be administered by FMCSA's CDL Division.

The National Center for State Courts' goal is to assist States participating in the national CDLPI program in achieving/sustaining full compliance with the requirements of 49 CFR Parts 383 and 384 by serving as a resource. In support of this effort, funding is recommended to document court processes for conviction reporting and to measure court performance; to improve the timeliness and accuracy of conviction reporting; and to provide outreach and curriculum development on the importance of correct adjudication of CDL violations. The project administrators for this project and for the Marshall University project (see below) will coordinate all activities to ensure that there is no duplication of effort.

35. Applicant Name: **The National Judicial College**
Recommendation Amount: \$800,294.00

This is recommended for award as a Cooperative Agreement to be administered by FMCSA's CDL Division.

The National Judicial College's goal is to assist States participating in the national CDLPI program in achieving/sustaining full compliance with the requirements of 49 CFR Parts 383 and 384 by serving as a resource. In support of this effort, funding is recommended to: provide training classes for judges in CDL violation adjudication; provide outreach and technical assistance including state-specific webcasts; maintain the judicial CDL ListServe to ensure that stakeholders receive information and to serve as an additional reference; and to ensure that the online equivalency tables are current.

36. Applicant Name: **American Association of Motor Vehicles Administrators**
Recommendation Amount: \$318,625.00

This is recommended for award as a Cooperative Agreement to be administered by FMCSA's CDL Division.

The American Association of Motor Vehicle Administrators' goal is to assist States participating in the national CDLPI program in achieving/sustaining full compliance with the requirements of 49 CFR Parts 383 and 384 by serving as a resource. In support of this effort, funding is recommended for CD31 support by providing technical assistance to run reports that

identify broken or missing pointers needing resolution, to ensure the accuracy and completeness of CDL data.

37. Applicant Name: American Association of Motor Vehicle Administrators

Recommendation Amount: \$147,600.00

This is recommended for award as a Cooperative Agreement to be administered by FMCSA's CDL Division.

The American Association of Motor Vehicle Administrators' goal is to assist States participating in the national CDLPI program in achieving/sustaining full compliance with the requirements of 49 CFR Parts 383 and 384 by serving as a resource. In support of this effort, funding is recommended to provide law enforcement access to CDLIS driver history records; the monthly fee is based on a tiered pricing structure keyed to the number of transactions per month. Access is limited to non-Federal staff; access by Federal staff is funded under a contract. The project administrators for this project and for that contract will coordinate activities to ensure that there is no duplication of billing under either instrument.

38. Applicant Name: American Association of Motor Vehicles Administrators

Recommendation Amount: \$672,101.00

This project is recommended for award as a Cooperative Agreement to be administered by FMCSA's CDL Division.

The American Association of Motor Vehicle Administrators' goal is to assist States participating in the national CDLPI program in achieving/sustaining full compliance with the requirements of 49 CFR Parts 383 and 384 by serving as a resource. In support of this effort, funding is recommended to provide training, technical assistance, and reports that focus on the priorities set by FMCSA and the CDLIS Reporting Group to improve the accuracy of CDL data, such as developing and implementing Phase 2 of the Out of State Conviction and Withdrawal Report, Phase 2 of the Selective Driver History Record Validation Report, the Change State of Record Report, and the Frequent Inquiry Report; to analyze and document the Med Cert Posting report and the Missing Pointer Report; and conduct webinars and training courses as needed.

39. Applicant Name: American Association of Motor Vehicle Administrators

Recommendation Amount: \$3,600,000.00

This project is recommended for award as a Cooperative Agreement to be administered by FMCSA's CDL Division.

The American Association of Motor Vehicle Administrators' goal is to assist States participating in the national CDLPI program in achieving/sustaining full compliance with the requirements of 49 CFR Parts 383 and 384 by serving as a resource. In support of this effort, funding is recommended to: support the web-based Commercial Skills Test Information Management System (CSTMS) for tracking test results and scheduling tests; integrate test results with driver licensing and GPS-enabled tablets on request; operate and maintain the system and provide training; host a user group to identify needed system enhancements and facilitate integration with existing systems. The application details both the operation/maintenance tasks and the security tasks. The anticipated monthly cost for these services is based on usage.

A reduction of \$10,009.00 from the requested amount is due to insufficient information provided in the application to demonstrate the need for this funding based on the expected costs of anticipated monthly usage.

40. Applicant Name: **American Association of Motor Vehicles Administrators**
Recommendation Amount: \$500,000.00

This project is recommended for award as a Cooperative Agreement to be administered by FMCSA's CDL Division.

The American Association of Motor Vehicle Administrators' goal is to assist States participating in the national CDLPI program in achieving/sustaining full compliance with the requirements of 49 CFR Parts 383 and 384 by serving as a resource. In support of this effort, funding is recommended to facilitate the fiscal year 2018 annual CDL annual meeting used to communicate with State partners on CDL updates, rulemakings, interpretations, and other information on a comprehensive range of CMV safety topics. Funding is provided for attendee and staff travel to this three-day meeting and for necessary staff labor and associated costs not funded by FMCSA's agreement with the contractor selected to provide remaining services.

41. Applicant Name: **American Association of Motor Vehicles Administrators**
Recommendation Amount: \$185,431.00

This project is recommended for award as a Cooperative Agreement to be administered by FMCSA's CDL Division.

The American Association of Motor Vehicle Administrators' goal is to assist States participating in the national CDLPI program in achieving/sustaining full compliance with the requirements of 49 CFR Parts 383 and 384 by serving as a resource. In support of this effort, funding is recommended to provide one 40-hour examiner Train-the-Trainer class and two 3-day Examiner Refresher classes.

42. Applicant Name: **Marshall University Research Corporation**

Recommendation Amount: \$630,720.00

This project is recommended for award as a Cooperative Agreement to be administered by FMCSA's CDL Division.

In partnership with the Rahall Transportation Institute, the Marshall University Research Corporation's goal is to assist States participating in the national CDLPI program in achieving/sustaining full compliance with the requirements of 49 CFR Parts 383 and 384 by serving as a resource. In support of this effort, funding is recommended to: provide four jurisdictions now using a paper-based system with a complete mobile CDL skills testing system and to provide training in its use; to develop and provide a streamlined version of the mobile CDL skills testing system to offer to less affluent jurisdictions at a reduced price; to evaluate and assess 10-day conviction reports and recommend best practices to assist states in achieving this standard.

43. Applicant Name: National District Attorneys Association
Recommendation Amount: \$1,046,275.00

This project is recommended for award as a Cooperative Agreement to be administered by FMCSA's CDL Division.

The National District Attorneys Association's goal is to assist States participating in the national CDLPI program in achieving/sustaining full compliance with the requirements of 49 CFR Parts 383 and 384 by serving as a resource. In support of this effort, funding is recommended to: provide subject matter experts for prosecutors and other criminal justice professionals on CMV; increase awareness of prosecutors and other criminal justice professionals on issues relating to CMV and CDL; provide training for appropriate professionals in prosecuting CMV and CDL violations; increase the awareness of court officials on the importance of proper adjudication of CMV and CDL violations by attendance at conferences and presenting at other meetings; and to host Basic Skills Conferences on best practices and CDL enforcement to increase court officials' understanding of concepts such as masking and One Driver-One License- One Record.